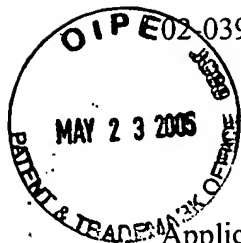


TFW



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Patrick T. Mather et al. )  
Serial No.: 10/683,559 ) Group Art Unit: 1713  
Filing Date: October 10, 2003 ) Examiner: B. Lipman  
For: CROSSLINKED POLYCYCLOOCTENE )

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §§ 1.56, 1.97 AND 1.98**


Sir:

In compliance with the duty to disclose, submitted herewith is form PTO-A820 (PTO-1449) listing publication(s) of which those designated by 37 CFR § 1.56 are aware. Copies of the non-United States patents or published applications are enclosed.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or an admission that the information cited is, or is considered to be, material to patentability.

Respectfully submitted,

CANTOR COLBURN LLP

By:   
Roberta L. Pelletier  
Registration No. 46,372

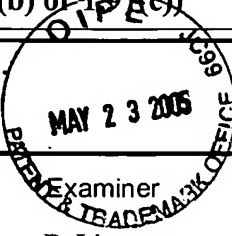
Date: May 20, 2005  
Customer No.: 23413  
Telephone: (860) 286-2929

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT****(Under 37 CFR 1.97(b) or 1.97(e))**

Docket No.

02-039 (UCT-0064)

In Re Application Of: Patrick T. Mather et al.



Application No.

10/683,559

Filing Date

October 10, 2003

Examiner

B. Lipman

Customer No.

23413

Group Art Unit

1713

Confirmation No.

7909

Title: **CROSSLINKED POLYCYCLOOCTENE**

Address to:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

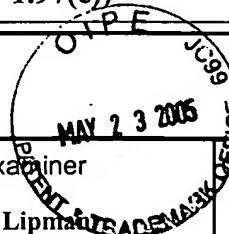
**OR**

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
02-039 (UCT-0064)

In Re Application: Patrick T. Mather et al.



Application No. 10/683,559	Filing Date October 10, 2003	Examiner B. Lipman	Customer No. 23413	Group Art Unit 1713	Confirmation No. 7909
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Title: **CROSSLINKED POLYCYCLOOCTENE**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))


- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 06-1130 as described below.
- ☐ Charge the amount of \_\_\_\_\_
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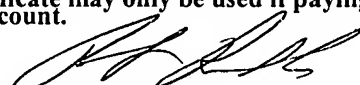
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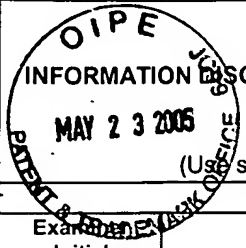
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Dated: May 20, 2005

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Registration No. 46,372  
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CC:

		<b>PTO-1449</b> <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <b>LIST OF ITEMS</b> (Use several sheets if necessary)		<b>Attorney's Docket Number</b> 02-039 (UCT-0064)		<b>Serial Number</b> 10/683,559	
				<b>Name of Applicant</b> Patrick T. Mather			
				<b>Filing Date</b> 10/10/2003		<b>Group Art Unit</b>	
<b>U.S. PATENT DOCUMENTS</b>							
<b>Examiner Initial</b>	<b>Document Number</b>	<b>Date</b>	<b>NAME</b>	<b>Class</b>	<b>Subclass</b>	<b>Filing Date If Appropriate</b>	
	3,383,336	5/14/1968	Kuyama et al.				
	3,563,973	2/16/1971	Arditti et al.				
	4,612,241	9/16/1986	Howard, Jr.				
	5,145,935	9/8/1992	Hayashi				
	5,147,385	9/15/1992	Beck et al.				
	5,163,952	11/17/1992	Froix				
	5,189,110	2/23/1993	Ikematu et al.				
	5,258,020	11/02/1993	Froix				
	5,395,882	3/7/1995	Siol et al.				
	5,506,300	4/9/1996	Ward et al.				
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	5,674,242	10/7/1997	Phan et al.				
	5,769,883	6/23/1998	Buscemi et al.				
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	6,024,764	2/15/2000	Schroeppel				
	6,086,204	7/11/2000	Magnante				
	6,156,842	12/05/2000	Hoenig et al.				
	6,160,084	12/12/2000	Langer et al.				
	6,217,609	4/17/2001	Haverkost				
<b>EXAMINER</b>				<b>DATE CONSIDERED</b>			
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PTO-1449			Attorney's Docket Number 02-039 (UCT-0064)		Serial Number 10/683,559	
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U.S. PATENT DOCUMENTS						
Examiner Initial	Document Number	Date	NAME	Class	Subclass	Filing Date If Appropriate
	6,248,129	6/19/2001	Froix			
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	6,388,043	5/14/2002	Langer et al.			
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	2002/0007222	1/17/2002	Desai			
	2002/0015519	2/7/2002	Tokas et al.			
	2002/0137864	9/26/2002	Tong			
	2003/0060793	3/27/2003	Topolkaraev et al.			
	2003/060530	3/27/2003	Topolkaraev et al.			
	2003/0191276	10/09/2003	Lendlein et al.			
	2004/0014929	1/22/2004	Lendlein et al.			
	2004/0015261	1/22/2004	Hofmann et al.			
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	2004/0030062	2/12/2004	Mather et al.			
FOREIGN PATENT DOCUMENTS						
Examiner Initial	Document Number	Date	COUNTRY	Class	Subclass	Filing Date If Appropriate
	EP 0 343 442	12.05.1989	European			
	EP 0 385 443	28.02.1990	European			
	EP 0 422 693	12.02.1991	European			
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Examiner Initial	Document Number	Date	COUNTRY	Class	Subclass	TRANSLATION YES NO
	EP 1 000 958	12.11.1998	European			
	WO 94/14890	07.07.94	PCT			
	WO 95/26762	12.10.95	PCT			
	WO 99/46327	16.09.99	PCT			
	WO 01/07499	01.02.2001	PCT			
	WO 01/91822	06.12.2001	PCT			
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	WO 2004/033539	22.04.2004	PCT			
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	WO 2005/009523	03.02.2005	PCT			
Examiner Initial	<b>OTHER INFORMATION</b> (including author, title, date, pertinent)					
	JP 11154420 Abstract Only; 1999-06-08 (1 page)					
	JP 11302493 Abstract Only; 1999-11-02 (1 page)					
	JP 2232212 Abstract Only; 1990-09-14 (1 page)					
	JP 2258817 Abstract Only; 1990-10-19 (1 page)					
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Examiner Initial	OTHER INFORMATION (including author, title, date, pertinent)		
	JP 3068610 Abstract Only; 1991-03-25 (1 page)		
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<b>Examiner Initial</b>	<b>OTHER INFORMATION</b> (including author, title, date, pertinent)		
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Examiner Initial	OTHER INFORMATION (including author, title, date, pertinent)		
	Jeong et al., "Miscibility and Shape Memory Effect of Thermoplastic Polyurethane Blends with Phenoxy Resin", European Polymer Journal 37 (2001) 2245-2252		
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	WO0046262 Abstract Only; 2000-08-10 (1 page)		
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	WO 2003/093341	13.11.2003	PCT			
	WO 2004/011525	05.02.2004	PCT			
<b>Examiner Initial</b>	<b>OTHER INFORMATION</b> (including author, title, date, pertinent)					
	International Search Report; International Application No. PCT/US 03/32059; Mailing Date: 21/04/2004 (2 pages)					
	International Search Report; International Application No. PCT/US 03/32308; Mailing Date: 03/06/2004 (2 pages)					
	International Search Report; International Application No. PCT/US 03/32329; Mailing Date: 01/04/2004 (2 pages)					
	International Search Report; International Application No. PCT/US 03/32138; Mailing Date: 31/03/2004 (2 pages)					
	International Search Report; International Application No. PCT/US 03/22898; Mailing Date: 06/11/2003 (2 pages)					
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